



AUROMA COKE LIMITED

**REPORT
AND
ACCOUNTS
2017**

TWENTY FOURTH ANNUAL REPORT 2016-17

BOARD OF DIRECTORS

Mr. Prashant Tulsyan	<i>Whole-time Director</i>
Mrs. Vibha Tulsyan	<i>Non-Executive Director</i>
Mr. Alok Sawa	<i>Non-Executive Director</i>
Mr. Abhishek Kumar Chhapolika	<i>Non-Executive Director</i>

REGISTERED OFFICE

Shanti Niketan Building, Suit No. 706
8, Camac Street, Kolkata - 700 017
Phone : 033-22822310
E-mail : info@auroma.in
CIN NO. : L23101WB1993PLC060154

AUDITORS

Agarwal Khemka & Associates
Chartered Accountants

BANKER

State Bank of India

REGISTRAR & SHARE TRANSFER AGENTS

Niche Technologies Pvt. Ltd.
71, B. R. B. Basu Road
D-511, Bagree Market, Kolkata - 700 001
Phone : 033-2235 7270/7271/3070/2234
Fax : 033-2215 6823

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Notice

TO THE SHAREHOLDERS,

Notice is hereby given that 24th Annual General Meeting of the Shareholders of **AUROMA COKE LIMITED** will be held on **Friday, 22nd September, 2017 at 11.30 a.m.** at Thakur Bari, 91A, Ahiritola Street, 2nd Floor, Kolkata – 700 005 to transact the following business:

Ordinary Business:

- To receive, consider and adopt the audited financial statement of the Company for the financial year ended 31st March, 2017, the reports of the Board of Directors and Auditors thereon.
- To appoint a Director in place of Mrs. Vibha Tulsyan (DIN: 00419784), who retires by rotation and being eligible, offers herself for re-appointment.
- To appoint Auditors and to fix their remuneration. In this connection In this connection, to consider and if thought fit, to pass, with or without modification, the following resolution as an Ordinary Resolution:

“RESOLVED THAT M/s. Chhaparia & Associates, Chartered Accountants, (FRN. 322169E) be and are hereby appointed as the Statutory Auditors of the Company, to hold office for a period of 5 years from the conclusion of this Annual General Meeting until the conclusion of Annual General Meeting to be held in the year 2022 at such remuneration as may be determined by the Board of Directors in consultation with the Auditors.”

By Order of the board

For AUROMA COKE LIMITED
Prashant Tulsyan

Date : 14th August, 2017

Whole-time Director

NOTES:

- A SHAREHOLDER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE INSTEAD OF HIMSELF/ HERSELF AND A PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE INSTRUMENT APPOINTING THE PROXY SHOULD, HOWEVER, BE DEPOSITED AT THE REGISTERED OFFICE OF THE COMPANY NOT LESS THAN FORTY EIGHT HOURS BEFORE THE COMMENCEMENT OF THE MEETING.

A person can act as a proxy on behalf of the members not exceeding fifty and holding in the aggregate not more than ten percent of the total share capital of the Company carrying voting rights. A member holding more than ten percent of the total share capital of the Company carrying voting rights may appoint a single person as proxy and such person shall not act as a proxy for any other person or shareholder.

- Register of Members and Share Transfer books will remain closed from Saturday, 16th September, 2017 to Friday, 22nd September, 2017.
- VOTING THROUGH ELECTRONIC MEANS

- In compliance with provisions of Section 108 of the Companies Act, 2013, Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended by the Companies (Management and Administration) Amendment Rules, 2015 and Regulation 44 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015, the Company is pleased to provide members facility to exercise their right to vote on resolutions proposed to be considered at the Annual General Meeting (AGM) by electronic means and the business

may be transacted through e-Voting Services. The facility of casting the votes by the members using an electronic voting system from a place other than venue of the AGM (“remote e-voting”) will be provided by Central Depository Services (India) Limited (CDSL).

- The facility for voting through ballot paper shall be made available at the AGM and the members attending the meeting who have not cast their vote by remote e-voting shall be able to exercise their right at the meeting through ballot paper.
- The members who have cast their vote by remote e-voting prior to the AGM may also attend the AGM but shall not be entitled to cast their vote again.
- The remote e-voting period commences on 19th September, 2017 (9:00 am) and ends on 21st September, 2017 (5:00 pm). During this period members of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date of 15th September, 2017, may cast their vote by remote e-voting. The remote e-voting module shall be disabled by CDSL for voting thereafter. Once the vote on a resolution is cast by the member, the member shall not be allowed to change it subsequently.
- The process and manner for remote e-voting are as under:
 - The shareholders should log on to the e-voting website www.evotingindia.com.
 - Click on Shareholders.
 - Now Enter your User ID
 - For CDSL: 16 digits beneficiary ID,
 - For NSDL: 8 Character DP ID followed by 8 Digits Client ID,
 - Members holding shares in Physical Form should enter Folio Number registered with the Company.
 - Next enter the Image Verification as displayed and Click on Login.
 - If you are holding shares in demat form and had logged on to www.evotingindia.com and voted on an earlier voting of any company, then your existing password is to be used.
 - If you are a first time user follow the steps given below:

For Members holding shares in Demat Form and Physical Form

PAN	Enter your 10 digit alpha-numeric PAN issued by Income Tax Department (Applicable for both demat shareholders as well as physical shareholders) <ul style="list-style-type: none"> Members who have not updated their PAN with the Company/Depository Participant are requested to use the first two letters of their name and the 8 digits of the sequence number in the PAN field. In case the sequence number is less than 8 digits enter the applicable number of 0's before the number after the first two characters of the name in CAPITAL letters. Eg. If your name is Ramesh Kumar with sequence number 1 then enter RA00000001 in the PAN field.
Dividend Bank Details OR Date of Birth (DOB)	Enter the Dividend Bank Details or Date of Birth (in dd/mm/yyyy format) as recorded in your demat account or in the company records in order to login. <ul style="list-style-type: none"> If both the details are not recorded with the depository or company please enter the member id / folio number in the Dividend Bank details field as mentioned in instruction (iv).



- (vii) After entering these details appropriately, click on "SUBMIT" tab.
- (viii) Members holding shares in physical form will then directly reach the Company selection screen. However, members holding shares in demat form will now reach 'Password Creation' menu wherein they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by the demat holders for voting for resolutions of any other company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.
- (ix) For Members holding shares in physical form, the details can be used only for e-voting on the resolutions contained in this Notice.
- (x) Click on the EVSN for the "Auroma Coke Limited" on which you choose to vote.
- (xi) On the voting page, you will see "RESOLUTION DESCRIPTION" and against the same the option "YES/NO" for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.
- (xii) Click on the "RESOLUTIONS FILE LINK" if you wish to view the entire Resolution details.
- (xiii) After selecting the resolution you have decided to vote on, click on "SUBMIT". A confirmation box will be displayed. If you wish to confirm your vote, click on "OK", else to change your vote, click on "CANCEL" and accordingly modify your vote.
- (xiv) Once you "CONFIRM" your vote on the resolution, you will not be allowed to modify your vote.
- (xv) You can also take a print of the votes cast by clicking on "Click here to print" option on the Voting page.
- (xvi) If a demat account holder has forgotten the login password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.
- (xvii) Shareholders can also cast their vote using CDSL's mobile app m-Voting available for android based mobiles. The m-Voting app can be downloaded from Google Play Store. Apple and Windows phone users can download the app from the App Store and the Windows Phone Store respectively on or after 30th June 2016. Please follow the instructions as prompted by the mobile app while voting on your mobile.
- (xviii) Note for Non – Individual Shareholders and Custodians
- Non-Individual shareholders (i.e. other than Individuals, HUF, NRI etc.) and Custodian are required to log on to www.evotingindia.com and register themselves as Corporates.
 - A scanned copy of the Registration Form bearing the stamp and sign of the entity should be emailed to helpdesk.evoting@cdslindia.com.
 - After receiving the login details a Compliance User should be created using the admin login and password. The Compliance User would be able to link the account(s) for which they wish to vote on.
 - The list of accounts linked in the login should be mailed to helpdesk.evoting@cdslindia.com and on approval of the accounts they would be able to cast their vote.
 - A scanned copy of the Board Resolution and Power of Attorney (POA) which they have issued in favour of the Custodian, if any, should be uploaded in PDF format in the system for the scrutinizer to verify the same.
- VI. In case you have any queries or issues regarding e-voting, you may refer the Frequently Asked Questions ("FAQs") and e-voting manual available at www.evotingindia.com, under help section or write an email to helpdesk.evoting@cdslindia.com.
- VII. If you are already registered with CDSL for remote e-voting then you can use your existing user ID and password/PIN for casting your vote.
- VIII. You can also update your mobile number and e-mail id in the user profile details of the folio which may be used for sending future communication(s).
- IX. The voting rights of members shall be in proportion to their shares of the paid up equity share capital of the Company as on the cut-off date of 13th September, 2017.
- X. Any person, who acquires shares of the Company and become member of the Company after dispatch of the notice and holding shares as of the cut-off date i.e. 13th September, 2017, may obtain the login ID and password by sending a request at helpdesk.evoting@cdslindia.com or nichetechpl@nichetechpl.com
- However, if you are already registered with CDSL for remote e-voting then you can use your existing user ID and password for casting your vote. If you forgot your password, you can reset your password by using "Forgot User Details/Password" option available on www.evotingindia.com.
- XI. A member may participate in the AGM even after exercising his right to vote through remote e-voting but shall not be allowed to vote again at the AGM.
- XII. A person, whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on the cut-off date only shall be entitled to avail the facility of remote e-voting as well as voting at the AGM through ballot paper.
- XIII. Mr. Vivek More, Practicing Company Secretary (Membership No. ACS 50059 and C P No. 18668) has been appointed as the Scrutinizer for providing facility to the members of the Company to scrutinize the voting and remote e-voting process in a fair and transparent manner.
- XIV. The Chairman shall, at the AGM, at the end of discussion on the resolutions on which voting is to be held, allow voting with the assistance of scrutinizer, by use of "Ballot Paper" for all those members who are present at the AGM but have not cast their votes by availing the remote e-voting facility.
- XV. The Scrutinizer shall after the conclusion of voting at the general meeting, will first count the votes cast at the meeting and thereafter unblock the votes cast through remote e-voting in the presence of at least two witnesses not in the employment of the Company and shall make, not later than three days of the conclusion of the AGM, a consolidated scrutinizer's report of the total votes cast in favour or against, if any, to the Chairman or a person authorized by him in writing, who shall countersign the same and declare the result of the voting forthwith.
- XVI. The Results declared alongwith the report of the Scrutinizer shall be placed on the website of the CDSL immediately after the declaration of result by the Chairman or a person authorized by him in writing. The results shall also be immediately

By Order of the board
For AUROMA COKE LIMITED
Prashant Tulsyan
Whole-time Director

Date : 14th August, 2017

Directors' Report

To
The Members,
The Board of Directors presents 24th Annual Report and the Audited Financial Results of the Company for the year ended 31st March, 2017.

FINANCIAL RESULTS

₹ In lacs		
Particulars	2016-17	2015-16
Total Income	1067.70	957.40
Total Expenditure	1065.29	1091.64
Profit / (Loss) before Interest, Depreciation and Tax	2.41	(134.24)
Less : 1. Finance Cost	156.18	141.67
2. Depreciation	37.84	42.56
Exceptional Items	(20.86)	119.93
Profit/(Loss) before Tax	(212.47)	(198.54)
Provision for Tax	58.51	59.55
Profit/(Loss) after Tax	(153.96)	(138.99)
Amount brought forward	164.93	303.92
Amount available for appropriation	10.97	164.93
Amount carried to balance Sheet	10.97	164.93

REVIEW OF OPERATIONS

During the year under review, the Company has been able to post positive EBITDA (earnings before interest, depreciation, taxation and amortization) ₹ 2.41 lacs as against negative EBITDA of Rs. 134.24 lacs only in the previous year.

However, the Loss for the year has increased to Rs. 153.96 lacs as compared to ₹ 138.99 lacs in the previous year due to write off of project expenses amounting to ₹ 23.30 Lacs.

DIVIDEND

In view of the losses incurred during the year, your directors do not recommend any dividend.

DIRECTORS

During the year under review, there has not been any change in the composition of the Board of Directors. Mrs. Vibha Tulsyan (DIN : 00419784) retires by rotation and being eligible offers herself for re-appointment.

The Company has received declarations from all the Independent Directors of the Company confirming that they meet the criteria of independence as prescribed under Section 149(6) of the Companies Act, 2013.

Information about Mrs. Vibha Tulsyan who seeks reappointment.

(1) Name of the Director : Mrs. Vibha Tulsyan (2) DIN : 00419784 (3) Date of Birth : 14.03.1976 (4) Date of Appointment : 20.11.2014 (5) Qualification : B.Com (6) Experience : Business and Administration (7) Other Directorship : Nil (8) No. of Shares held : 22,900 (0.36%)

DIRECTORS' RESPONSIBILITY STATEMENT

Pursuant to the requirements under Section 134(5) of the Companies Act, 2013 with respect to Directors' Responsibility Statement, your Directors hereby confirm and state that:

a) in the preparation of the annual accounts for the year

ended 31st March, 2017, the applicable accounting standards have been followed along with proper explanation relating to material departures, if any;

- b) they have selected such accounting policies and applied them consistently and made judgments and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the company at the end of the financial year under review and of the profit or loss of the company for that period;
- c) the Directors have taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act, 2013 for safeguarding the assets of the Company and for preventing and detecting fraud and other irregularities;
- d) the Directors have prepared the annual accounts on a going concern basis;
- e) the Directors have laid down internal financial controls in the company that are adequate and were operating effectively.
- f) the Directors have devised proper systems to ensure compliance with the provisions of all applicable laws and these are adequate and are operating effectively.

DISCLOSURES

- A) EXTRACT OF ANNUAL RETURN – Extract of Annual Return of the Company is annexed herewith as **Annexure- A** to this Report.
- B) MEETINGS OF THE BOARD – During the year under review, the Board of Directors had five meetings. These were held on 6.4.2016, 30.5.2016, 12.8.2016, 14.11.2016, 14.2.2017.
- C) COMPOSITION OF AUDIT COMMITTEE – The Audit Committee comprises of Two Independent – Non executive Directors and One Promoter – Executive Director namely (1) Mr. Abhishek Kumar Chhapolika, (2) Mr. Alok Sawa and (3) Mr. Prashant Tulsyan.
- D) VIGIL MACHANISM/ WHISTLE BLOWER POLICY – Pursuant to Section 177 of the Companies Act, 2013 read with Regulation 22 of the Listing regulations, the Board of Directors has adopted a vigil mechanism/ whistle blower policy which provides to directors and employees a framework for reporting their genuine concerns about unethical behavior, actual or suspected fraud or violation of the Company's code of conduct.
- E) PARTICULARS OF LOANS GIVEN, INVESTMENT MADE, GUARANTEES GIVEN AND SECURITY PROVIDED – The particulars of loans, guarantees and investments have been disclosed in the financial statements.
- F) PARTICULARS OF REMUNERATION - There are no disclosures to make regarding employees remuneration as per Rules 5(2) & (3) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 forming part of this Report. Disclosures pertaining to managerial remuneration and other details as required under Section 197(12) of the Companies Act, 2013 read with Rule 5(1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 are provided.
- G) CORPORATE SOCIAL RESPONSIBILITY (CSR) – Provisions of Section 135 of the Companies Act, 2013 relating to the Corporate Social Responsibility are not applicable



to the Company as it does not fall in any of the criteria mentioned in that section.

H) GENERAL – Your Directors state that no disclosures or reporting is required in respect of the following subject as there were no transactions incurred during the financial year in relation thereto.

- Details relating to deposits covered under Chapter V of the Companies Act, 2013.
- Issue of equity shares with differential rights as to dividend, voting or otherwise.
- Issue of shares to employees under any scheme.
- No significant or material orders were passed by the Regulators or Courts or Tribunals which impacted the going concern status and Company's operations in future.

AUDITORS

M/s Agarwal Khemka & Associates, Chartered Accountants, Statutory Auditors of the Company having completed more than ten financial years upto financial years 2016-17 are not eligible for re-appointment as statutory auditors for the next financial year in view of provisions of Section 139 of the Companies Act, 2013 on rotation of auditors.

It is proposed to appoint M/s. Chhaparia & Associates, Chartered Accountants as Statutory Auditors of the Company for a period of five years from the financial year 2017-18 upto financial year 2021-22.

AUDITORS' REPORT

The observations of the Auditors in their Report read with relevant notes on the Accounts, as annexed are self explanatory and they do not call for further explanation in this Report.

SECRETARIAL AUDIT REPORT

According to the provision of section 204 of the Companies Act, 2013, the Secretarial Audit Report submitted by Mr. Vivek More, Company Secretary in Practice is annexed.

Board explanation on the observations in the Secretarial Audit Report –

a) Observation – Non-appointment of company secretary, chief financial officer, internal auditors.

The Company, in view of low volume of business activities and weak financial position is not in a position to appoint these positions. The financial and secretarial functions are being monitored by Mr. Prashant Tulsyan – Whole-time Director of the Company.

RISK MANAGEMENT POLICY

Board of Directors have formulated and implemented a risk management policy for the company. The Board has been addressing various risks impacting the Company including identification therein of elements of risk, if any, which in the opinion of the Board may threaten the existence of the company.

POLICY ON DIRECTORS' APPOINTMENT AND REMUNERATION, ETC.

The Nomination and Remuneration Committee of the Company has framed a suitable policy on Directors' appointment which identifies the qualifications, positive attributes, independence of the Directors. The Committee has also recommended to the Board a Policy on remuneration for the Directors, Key Managerial Personnel and other employees.

PARTICULARS OF CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION AND FOREIGN EXCHANGE EARNINGS AND OUTGO

- A. Conservation of Energy: The Coke Ovens are designed in such a way that considerable amount of energy is saved.
- B. Technology Absorption: The present technology used in manufacturing process is Indigenous. The Company has not carried out any research and development activities during the year.
- C. The foreign exchange earnings and outgo during the year under review: Nil

RELATED PARTY TRANSACTIONS

The Related Party Transactions that were entered during the financial year were on an arm's length basis and were in the ordinary course of business. The Company has not entered into material related party transactions which require disclosures under the provisions of the Companies Act, 2013 and the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015.

ACKNOWLEDGEMENTS

The Directors place on record their gratitude to the bankers, media, Government and other agencies, shareholders and employees for their assistance, cooperation and encouragement extended to the Company.

By Order of the board
For AUROMA COKE LIMITED
Prashant Tulsyan
Whole-time Director

Date : 14th August, 2017

SECRETARIAL AUDIT REPORT

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2017

[Pursuant to section 204(1) of the Companies Act, 2013 and rule No.9 of the Companies (Appointment and Remuneration Personnel) Rules, 2014]

To the Members,

AUROMA COKE LIMITED

I have conducted the secretarial audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by Auroma Coke Limited (hereinafter called the company). Secretarial Audit was conducted in a manner that provided me a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing my opinion thereon. Based on my verification of the Company's books,

papers, minute books, forms and returns filed and other records maintained by the company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, I hereby report that in my opinion, the company has, during the audit period covering the financial year ended on **31st March, 2017** complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place

to the extent, in the manner and subject to the reporting made hereinafter:

I have examined the books, papers, minute books, forms and returns filed and other records maintained by the Company for the financial year ended on 31st March, 2017 according to provisions of :

- (i) The Companies Act, 2013 (the Act) and the rules made thereunder;
- (ii) The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made thereunder;
- (iii) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder;
- (iv) Foreign Exchange Management Act, 1999 and the rules and regulations made thereunder to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;
- (v) The following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 (SEBI Act)
 - (a) The Securities and Exchange Board of India (Substantial Acquisition of Shares & Takeovers) Regulations, 2011
 - (b) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
 - (c) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations 2009
 - (d) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993

Followings rules and regulations were not applicable to the Company during the audit period as it had not carried any activity being governed by these rules and regulations:-

- (a) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009;
- (b) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999;
- (c) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (d) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009;
- (e) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998;-
- (vi) All other statutes and laws as may be applicable specifically to the company.

I have also examined compliance with the applicable clauses of the following:-

- i) Secretarial Standards issued by the Institute of company Secretaries of India
- ii) The listing agreement entered into by the Company with BSE Ltd. during the year under review, the Company has complied with the provisions of the Act, Rules, Regulations, Guidelines, Standards, etc. mentioned above to the extent they are applicable except that the Company could not appoint Chief Financial Officer, Company Secretary, internal

auditors as per requirement under the Companies Act, 2013.

I further report that :-

- The Board of Directors of the Company is duly constituted with proper balance of Executive Directors, Non-Executive Directors and Independent Directors. There has not been any changes in the composition of the Board of Directors during the year under review.
- Adequate notice is given to all directors to schedule the Board Meetings, agenda and detailed notes on agenda were sent at least seven days in advance, and a system exists for seeking and obtaining further information and clarifications on the agenda items before the meeting and for meaningful participation at the meeting.
- Majority decision is carried through while the dissenting members' views are captured and recorded as part of the minutes.

I further report that there are adequate systems and processes in the company commensurate with its size and operations to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

Vivek More

Practising Company Secretary

Date : 30th June, 2017

C P No. 18668:

Annexure – I to Secretarial Audit Report

To

The Members,

AUROMA COKE LIMITED

Our report of even date is to be read along with this letter

1. Maintenance of secretarial record is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and Books of Accounts of the Company.
4. Whenever required, we have obtained the Management representation about the compliance of laws, rules and regulations and happening of events, etc.
5. The compliance of the provisions of Corporate and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedures on test basis.
6. The Secretarial Audit report is neither an assurance as to the future viability of the Company nor of the efficacy or effectiveness with which the management has conducted the affairs of the Company.

Vivek More

Practising Company Secretary

Date : 30th June, 2017

C P No. 18668:

**AUROMA COKE LIMITED**

Annexure B

**FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN**

As on financial year ended on 31.03.2017

Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS :

I	CIN	L23101WB1993PLC060154
II	Registration Date	17.09.1993
III	Name of the Company	Auroma Coke Limited
IV	Category/Sub-category of the Company	Company Limited by shares
V	Address of the Registered office & contact details	'Shantiniketan" 8 Camac Street, Suit No. 706 Kolkata – 700017 Phone – 033-22822310
VI	Whether listed company	Listed
VII	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Niche Technologies Private Limited D-511, Bagree Market, 5th Floor, 71, B.R.B. Basu Road, Kolkata - 700001 Phone : 033-2235 7270 / 7271

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10% or more of the total turnover of the company shall be stated)

Sl. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1.	Coal & Coke	27040030	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sl. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of share held	Applicable Section
1.	ACM Fuels Ltd.	U23200WB1989PTC104978	Associate	--	2 (6)
2.	Smart Dealers Pvt. Ltd.	U51909WB1996PTC082102	Associate	--	2 (6)
3.	ACM Finvests Pvt. Ltd.	U65993WB1988PTC045003	Associate	--	2 (6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**A) Category-wise Share Holding**

Category of Shareholders		No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A.	PROMOTERS									
(1)	Indian									
	a) Individual / HUF	987482	0	987482	15.627	987482	0	987482	15.627	0.000
	b) Bodies Corporate	585612	0	585612	9.268	585612	0	585612	9.268	0.000
	Sub-total (A)(1)	1573094	0	1573094	24.895	1573094	0	1573094	24.895	0.000
(2)	Foreign	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-total (A)(2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Total Shareholding of Promoter (A) = (A)(1)+(A)(2)	1573094	0	1573094	24.895	1573094	0	1573094	24.895	0.000
B.	PUBLIC SHAREHOLDING									
(1)	Institutions									
	a) Mutual Funds	0	250000	250000	3.956	0	250000	250000	3.956	0.000
	b) Banks / Financial Institutions	14300	0	14300	0.226	14300	0	14300	0.226	0.000

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
Sub-total (B)(1)	14300	250000	264300	4.183	14300	250000	264300	4.183	0.000
(2) Non-Institutions									
a) Bodies Corporate									
i) Indian	1158804	1139600	2298404	36.373	1161380	1139600	2300980	36.414	0.041
ii) Overseas									
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs 1 lakh	269076	258107	527183	8.343	275122	252807	527929	8.355	0.012
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	91271	1476800	1568071	24.816	91271	1476800	1568071	24.816	0.000
c) Others Specify									
1. NRI	40428	42200	82628	1.308	37423	42200	79623	1.260	-0.048
2. Clearing Members	5220	0	5220	0.083	4603	0	4603	0.073	-0.010
3. Trusts	0	0	0	0	300	0	300	0.005	0.005
Sub-total (B)(2)	1564799	2916707	4481506	70.922	1570099	2911407	4481506	70.922	0.000
Total Public Shareholding (B) = (B)(1)+(B)(2)	1579099	3166707	4745806	75.105	1584399	3161407	4745806	75.105	0.000
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
GRAND TOTAL (A+B+C)	3152193	3166707	6318900	100.000	3157493	3161407	6318900	100.000	0.000

B) Shareholding of Promoters

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% of change in shareholding during the year
		No. of Shares	% of total shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total shares of the company	% of Shares Pledged/encumbered to total shares	
1	ACM FINVESTS PVT. LTD.	231200	3.659	0.000	231200	3.659	0.000	0.000
2	ANAMIKA TULSYAN	24100	0.381	0.000	24100	0.381	0.000	0.000
3	NIRMALA TULSYAN	71000	1.124	0.000	71000	1.124	0.000	0.000
4	NISHA TULSYAN	121600	1.924	0.000	121600	1.924	0.000	0.000
5	PRASHANT TULSYAN	154800	2.450	0.000	154800	2.450	0.000	0.000
6	RAJIV TULSYAN	52800	0.836	0.000	52800	0.836	0.000	0.000
7	RANJANA TULSYAN	78400	1.241	0.000	78400	1.241	0.000	0.000
8	SANJEEV KUMAR TULSYAN	113982	1.804	0.000	113982	1.804	0.000	0.000
9	SMART DEALERS PRIVATE LIMITED	235512	3.727	0.000	235512	3.727	0.000	0.000
10	SRS HOTEL PRIVATE LTD	118900	1.882	0.000	118900	1.882	0.000	0.000
11	VAIBHAV TULSYAN	98000	1.551	0.000	98000	1.551	0.000	0.000
12	VIBHA TULSYAN	22900	0.362	0.000	22900	0.362	0.000	0.000
13	VIMAL KUMAR TULSYAN	249900	3.955	0.000	249900	3.955	0.000	0.000
TOTAL		1573094	24.895	0.000	1573094	24.895	0.000	0.000

C) Change in Promoters' Shareholding :

There has not been any change during the year in the promoters shareholding.



D) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	BANK OF INDIA A/C BOI MUTUAL FUND	250000	3.956	250000	3.956
2	DOLPHIN RESIDENCY PRIVATE LIMITED	263500	4.170	263500	4.170
3	GAURAV TULSYAN	250000	3.956	250000	3.956
4	GURUKRIPA DEALERS PVT. LTD.	315000	4.985	315000	4.985
5	NAVIN K. TULSYAN	194100	3.072	194100	3.072
6	PRECIOUS MINERAL TECHNO PVT. LTD.	275000	4.352	275000	4.352
7	SARANYA PROJECTS PVT LTD.	312900	4.952	312900	4.952
8	SUBHLABH PROJECTS PVT. LTD.	220000	3.482	220000	3.482
9	VISHWAKARMA TECHNO PRIVATE LIMITED	300000	4.748	300000	4.748
10	ZEBRA COMMERCIAL PVT. LTD.	360000	5.697	360000	5.697
	T O T A L	2740500	43.370	2740500	43.370

Note : There has not been any change in the shareholding occurred in the above top ten shareholders of the company during the year.

V) INDEBTEDNESS - Indebtedness of the Company including interest outstanding/accrued but not due for payment. FIGURES ARE AS ON 31.3.2017

(₹ In crore)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial period				
i) Principal Amount	10.53	0.80	—	11.33
ii) Interest due but not paid	—	0.06	—	0.06
iii) Interest accrued but not due	—	—	—	—
Total (i+ii+iii)	10.53	0.86	—	11.39
Change in Indebtedness during the financial period				
i) Principal Amount	(1.80)	1.69	—	(0.11)
ii) Interest due but not paid	—	0.13	—	(0.13)
iii) Interest accrued but not due	—	—	—	—
Net Change	—	—	—	—
Indebtedness at end of the financial period				
i) Principal Amount	8.73	2.49	—	11.22
ii) Interest due but not paid	—	0.19	—	0.19
iii) Interest accrued but not due	—	—	—	—
Total (i+ii+iii)	8.73	2.68	—	11.41

VI) REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A) Remuneration to Managing Director, Wholtime Director or Manager

In ₹

Sl No.	Particulars of Remuneration	Prashant Tulsyan
1	Gross salary	7,25,000
2	Others	--

B) Remuneration to other Directors

(Fee for attending meetings of the Board/ committees)

Name of Director	Amount (Rs)
Alok Kumar Sawa	20000
Abhshek Kumar Chhapolika	20000
Vibha Tulsyan	20000

C) Remuneration to Key Managerial Personnel – The Company has no Key Managerial Personnel.

VII) PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES

There are no penalties / punishment / compounding of offences under the Companies Act, 2013 against the Company / Directors / Other officers in default during the period under review.