

## Corporate Information

### Board of Directors

Atul Kumar Shukla  
 Navjeet Singh Sobti  
 Jagdeep Singh  
 Krishan Lall Khetarpaul  
 Ajay Kumar  
 Sanjay Tiwari  
 Abdul Redha Mustafa Abdul Redha Sultan  
 Neelu Jain  
 Satish Chandra Sinha

- Non-Executive & Independent Chairman
- Vice Chairman & Managing Director
- Wholetime Director
- Non-Executive & Independent Director
- Non-Executive & Independent Director
- Non-Executive Director
- Non-Executive Director
- Non-Executive Director
- Non-Executive & Independent Director

### Auditors

AVK & Associates  
 Chartered Accountants  
 101, 102, Express Arcade,  
 H - 10, Netaji Subhash Place, Pitampura,  
 Delhi 110 034

### Company Secretary & Compliance Officer

Ajay Pratap

### Bankers

HDFC Bank Ltd.  
 Axis Bank Ltd.  
 Punjab National Bank  
 Dena Bank  
 IDBI Bank Ltd.

### Registered Office

2nd Floor, 3, Scindia House,  
 Janpath, New Delhi-110 001

### Registrar & Share Transfer Agent

Beetal Financial & Computer Services (P) Ltd.  
 "Beetal House" 3rd Floor,  
 99, Madangir, Behind Local Shopping Centre,  
 Near Dada Harsukhdas Mandir,  
 New Delhi - 110 062  
 Phones : 011-29961281, 29961282  
 Fax : 011-29961280 / 84  
 E-mail : beetalrta@gmail.com  
 Website : www.beetalfinancial.com

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**LAST FIVE YEARS AT A GLANCE**

Rs. In Lacs\*

Sl. No.	Particulars / Financial Year	2011-12	2012-13	2013-14	2014-15	2015-16
1	Gross Revenue	6078.83	4860.73	4310.94	5365.65	3975.47
2	EBITDA	235.05	543.38	1507.12	1193.22	501.37
3	PBT	(393.39)	1.63	787.08	602.06	15.22
4	PAT	(393.39)	1.63	583.94	484.69	69.39
5	Paid-up Equity Capital	1553.09	1553.09	1553.09	1553.09	1553.09
6	Net Worth	11091.50	11093.14	11677.09	12091.53	12160.92
7	Current Assets	7512.90	6553.70	7748.43	7994.95	4959.99
8	Current Liabilities	2164.36	1445.64	1709.78	3798.08	1837.65
9	Provisions	55.80	46.35	54.52	53.10	67.81
10	Total Assets	15509.50	14631.29	15331.57	17648.98	15529.53
11	Current Ratio	3.47	4.53	4.53	2.10	2.70
12	Total Assets Turnover	0.39	0.33	0.28	0.30	0.26
13	Fixed Assets Turnover	1.48	1.23	1.16	1.57	1.21
14	EPS	(1.41)	0.01	2.26	1.60	0.27

\* Figures may vary on account of reclassification

\* Except Sl. Nos. 11 to 14, which are ratios

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## Directors' Report

### To the Members of Almondz Global Securities Limited,

The Board of Directors submits the Annual Report of your Company together with the Audited Statement of Accounts for the year ended March 31, 2016.

#### 1. Financial Results

Financial Results of the Company for the year under review are summarized as under :

(Rs. in Lacs)

	Year Ended 31.03.2016	Year Ended 31.03.2015
Gross Income	3975.47	5365.66
<b>Profit before Interest, Depreciation &amp; Tax</b>	<b>501.37</b>	1193.22
Less : Interest	317.65	374.52
Less : Depreciation	168.49	216.64
Less : Provision for Tax	(54.16)	117.37
<b>Net Profit after Tax</b>	<b>69.39</b>	484.69

#### 2. Dividend

In order to conserve resources and to strengthen the financial position of the Company to enable expansion of the Company, your Directors do not recommend payment of dividend.

#### 3. Standalone & Consolidated Financials

For the year ended March 31, 2016, your Company earned a total income of Rs. 3975.47 Lacs, as against previous year's total income of Rs. 5365.66 Lacs. As per the Consolidated Accounts, the total income is Rs. 5369.22 Lacs, as against the previous year's income of Rs. 6709.82 Lacs. The Company has incurred a profit of Rs. 69.39 Lacs in the current year as compared to a profit of Rs. 484.69 Lacs in the previous year. As per the Consolidated Accounts, the net loss for the year is Rs. 39.44 Lacs as compared to Rs. 758.31 Lacs in 2014-15.

#### 4. Reserves

The Company proposes the total amount of Rs. 69.39 Lacs available for appropriation to be retained in the statement of profit and loss.

#### 5. Information on State of Affairs of the Company

Information of the segmental operations and financial performance are given in the Management Discussion and Analysis Report in accordance with SEBI (LODR) Regulations, 2015.

#### 6. Human Resources

Your company believes that human talent is its fundamental strength and they continue to be the key driving force of the organization. Your company has generally enjoyed cordial relations with its employees. We believe in aligning business priorities with the aspirations of employees leading to the development of an empowered and responsive human capital.

As on 31 March 2016, your Company has a diverse employee base with 198 employees all over India. This heterogeneous base is central to sustaining the Company's competitive edge.

The HR function in Almondz Global is aligned and closely integrated with business units in order to support operational agility, to be scalable for the Company's future growth, as well as to achieve higher employee satisfaction.

#### 7. Material changes and commitments

There have been no material changes and commitments, affecting the financial position of the Company, which have occurred between the end of the financial year of the Company and the date of this Report.

#### 8. Details of significant and material orders passed by the regulators or courts or tribunals impacting the going concern status and company's operations in future

During the year under review, there were no significant and material orders passed by the regulators or courts or tribunals, which may impact the going concern status of the Company and its operations in future.

#### 9. Internal Financial Controls

The Company has adequate internal financial controls with reference to Financial Statements.

#### 10. Deposits

During the year under Report, your Company had not accepted any public deposits and, as such, no amount on account of principal or interest on public deposits was outstanding on the date of the Balance Sheet.

#### 11. Share Capital

During the year under Report, the Company has not issued any share capital.

#### 12. Particulars of remuneration of Directors / KMP / Employees

In terms of the provisions of Section 197(12) of the Companies Act, 2013 read with Rules 5(2) and 5(3) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014, a statement showing the names and other particulars of the employees drawing remuneration in excess of the limits set out in the said rules is attached as 'Annexure I A' which forms part of this report.

Disclosures pertaining to remuneration and other details as required under Section 197(12) of the Act read with Rule 5(1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 is attached as 'Annexure I B' which forms part of this report.

#### 13. Employees Stock Option Plan

To share the value created by the employees and to promote the culture of employee ownership in your Company, your Company introduced the "Almondz Global Securities Employees Stock Option Scheme 2007" ('the Scheme' or "ESOS 2007") for granting, offering and issuing upto 4500000 options or 15% of paid-up share capital of the Company, whichever is lower, in one or more tranches which was also approved by the shareholders on March 4, 2008 through Postal Ballot. Thereafter,

the Scheme was amended vide approval of the shareholders of the Company by passing a Special Resolution on 13 April 2010 by way of postal ballot, thereby increasing the number of options which can be granted under the Scheme from 4500000 or 15% of paid-up share capital of the Company, whichever is lower, to 1,50,00,000 or 50% of paid-up share capital of the Company, whichever is lower.

During the year under Report, no options have been granted by the Company and 587000 options lapsed.

Further, during the year under Report, no option were vested. The exercisable option at the end of 31 March 2016 is 429000. Details of the options issued under ESOS 2007, as also the disclosures in compliance with Clause 12 of the Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999 are set out in the **Annexure II** to this Report.

None of the management employees has received options exceeding 5% of the number of the options issued during the year ended 31 March 2016. Likewise, no employee has been issued stock options, during the period under Report, equal to or exceeding 1% of the issued capital (excluding outstanding warrants and conversions) of the Company at the time of grant.

#### **14. Listing**

The shares of your Company are listed at Bombay Stock Exchange Limited and National Stock Exchange of India Limited, Mumbai. The listing fees to the Stock Exchanges for the financial year 2016-17 have been paid.

#### **15. Extract of Annual Return**

Pursuant to the Section 92(3) of the Companies Act, 2013 extract of the annual Return is annexed to this report as **Annexure III**.

#### **16. Conservation of energy & technology absorption and Foreign exchange earnings and Outgo**

- A. Since the Company does not carry any manufacturing activities, particulars to be disclosed with respect to Conservation of energy & technology absorption under Section 134 (3) (m) of Companies Act, 2013 read with Companies (Accounts) Rules, 2014 are not applicable.
- B. During the year under review there has been no earnings and outgo in foreign exchange.

#### **17. Directors**

Ms. Neelu Jain, Director, retires by rotation at the ensuing 22nd Annual General Meeting.

Mr. Satish Chandra Sinha has been appointed as an additional Director w.e.f. 25.05.2016. He holds office upto the date of the ensuing Annual General Meeting. The Company has received the requisite notices in writing along with the necessary deposit signifying candidature of Directors.

Pursuant to the provisions under Section 134(3)(d) of the Companies Act, 2013, with respect to statement on declaration given by Independent Directors under Section 149(6) of the Act, the Board hereby confirms that all the Independent Directors of the Company have given a declaration and have confirmed that they meet the criteria of independence as provided in the said Section 149(6).

#### **18. Number of Meetings of the Board**

During the Financial Year 2015-16, 5 (Five) number of Board meetings were held. For details thereof kindly refer to the section 'Board of Directors- in the Corporate Governance Report.

#### **19. Disclosure on Audit Committee**

The Audit Committee as on March 31, 2016 comprised of the following Independent Directors :

Mr. Ajay Kumar (Chairman), Mr. Atul Kumar Shukla and Mr. Krishan Lal Khetarpaul. Further, all recommendations of Audit Committee were accepted by the Board of Directors.

#### **20. Sexual Harassment**

The Company has zero tolerance for sexual harassment at the workplace and has adopted a policy on prevention, prohibition and redressal of sexual harassment at the workplace in line with the provisions of the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 and the rules framed thereunder. The company did not receive any complaints to report in the Board's report.

#### **21. Vigil Mechanism / Whistle Blower Policy**

The Company has established a Vigil Mechanism (Whistle Blower) Policy for Directors and Employees to report concerns about unethical behaviour, actual or suspected fraud or violation of the Company's code of conduct or ethics policy. The Vigil Mechanism shall provide adequate safeguards against victimization of Director(s) / Employee(s), who avail of the mechanism and also provide for direct access to the Chairman of the Audit Committee. The details of establishment of the vigil mechanism disclosed by the Company on its website, [www.almondzglobal.com](http://www.almondzglobal.com).

#### **22. Performance Evaluation of the Board, its Committees and Individual Directors**

Pursuant to applicable provisions of the Companies Act, 2013 and the Listing Agreement with Stock Exchanges, the Board, in consultation with its Nomination & Remuneration Committee, has formulated a framework containing, *inter-alia*, the criteria for performance evaluation of the entire Board of the Company, its Committees and Individual Directors, including Independent Directors. A structured questionnaire has been prepared, covering various aspects of the functioning of the Board and its Committee, such as, adequacy of the constitution and composition of the Board and its Committees, matters addressed in the Board and Committee meetings, processes followed at the meeting, Board's focus, regulatory compliances and Corporate Governance, etc. Similarly, for evaluation of Individual Director's performance, the questionnaire covers various aspects like his/ her profile, contribution in Board and Committee meetings, execution and performance of specific duties, obligations, regulatory compliances and governance, etc.

The Board has carried out a formal annual evaluation of its own performance and that of its Committees and individual Directors. The Directors expressed their satisfaction with the evaluation process.

**23. Nomination & Remuneration Committee & Policy**

The Board of Directors of your Company has, on Constituted the Nomination & Remuneration Committee and based on their recommendation framed and adopted a policy for selection and appointment of Directors, KMP and their remuneration. The contents of the policy are disclosed by the company on its website, [www.almondzglobal.com](http://www.almondzglobal.com).

**24. Key Managerial Personnel**

The Key Managerial Personnel (KMP) in the Company as per Section 2(51) and 203 of the Companies Act, 2013 are as follows :

Mr. Navjeet Singh Sobti : Vice Chairman & Managing Director

Mr. Govind Prasad Agrawal : Chief Financial Officer

Mr. Ajay Pratap : Company Secretary

**25. Contracts or arrangements with Related Parties under Section 188(1) of the Companies Act, 2013**

With reference to Section 134(3)(h) of the Companies Act, 2013, all contracts and arrangements with related parties under Section 188(1) of the Act, entered by the Company during the financial year, were on an arm's length basis.

There being no 'material' related party transactions as defined under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, there are no details to be disclosed in Form AOC-2 in that regard. During the year 2015-16, pursuant to section 177 of the Companies Act, 2013 and SEBI Listing Regulations, 2015. All RPTs were placed before Audit Committee for its prior/omnibus approval. The Policy on RPTs as approved by Board is uploaded on the Company's website.

**26. Risk Management**

The Board of the Company has formed a risk management committee to frame, implement and monitor the risk management plan for the Company. The committee is responsible for reviewing the risk management plan and ensuring its effectiveness. The audit committee has additional oversight in the area of financial risks and controls. Major risks identified by the businesses and functions are systematically addressed through mitigating actions on a continuing basis.

The development and implementation of risk management policy has been covered in the management discussion and analysis, which forms part of this report.

**27. Secretarial Auditor & Secretarial Audit Report**

The Board had appointed M/s Ashu Gupta & Co., Company Secretaries in Wholtime Practice, to carry out Secretarial Audit under the provisions of Section 204 of the Companies Act, 2013 for the financial year 2015-16. The report of the Secretarial Auditor is annexed to this report as **Annexure V**. The Secretarial Auditors' Report for the financial year 2015-16 does not contain any qualification, reservation or adverse remarks.

**28. Corporate Social Responsibility**

Detailed information report on Corporate Social Responsibility Policy developed and implemented by the Company on CSR initiatives taken during the year pursuant to section 135 of the Companies Act, 2013 is given in the **Annexure VI** of this Report.

**29. Details of Subsidiary / Joint Ventures / Associate Companies**

Company is having six subsidiaries and two associate companies. The statement containing the summarised financial position of the subsidiary / Associates / Joint Ventures pursuant to Section 129 and Rules 5 of the Companies (Accounts) Rules, 2014, is contained in Form AOC - 1 which form part of the Annual Report as **Annexure VII**.

**30. Statutory Auditors**

Pursuant to the provisions of Section 139 of the Companies Act, 2013 and the Rules made there under, the current auditors of the Company, M/s. AVK & Associates, Chartered Accountants (registration number: 002638N) were appointed by the shareholders at the 20th annual general meeting to hold office until the conclusion of the 23rd annual general meeting, subject to ratification by shareholders at each annual general meeting.

The members are requested to ratify the appointment of M/s. AVK & Associates, Chartered Accountants, (registration number: 002638N) as statutory auditors of the Company and to fix their remuneration for the year 2016-17.

The Company has obtained necessary certificate under Section 141 of the Companies Act, 2013 from the auditors conveying their eligibility for the above appointment. The audit committee and board reviewed their eligibility criteria, as laid down under Section 141 of the Act 2013 and recommended ratification of their appointment as auditors for the aforesaid period.

The observations made in the Auditors' Report read with the relevant notes thereon are self-explanatory and hence, do not call for any comments under Section 134 of the Companies Act, 2013.

**31. Corporate Governance**

Corporate Governance is about commitment to values and about ethical business conduct. It stems from the culture and mindset of a management. Measures of Corporate Governance emanate not only from Regulation, but also because managements now clearly understand that good and transparent governance is the cornerstone on which lasting values can be created. Your company strives for excellence with the objective of enhancing shareholders' value and protecting the interest of stakeholders. At Almondz Global Securities, we ensure the practice of the Principles of Good Corporate Governance on which management decisions are based on a set of principles influenced by the values. All functions of the Company are discharged in a professionally sound, competent and transparent manner.

A detailed report on the Company's commitment at adopting good Corporate Governance Practices is enclosed. The Auditors' certificate on compliance with the mandatory provisions of the Corporate Governance with SEBI (LODR) Regulations, 2015

**32. Consolidated Financial Results**

As required under Section 129 of the Companies Act, 2013 and Clause 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, a Consolidated Financial Statement of the Company and all its subsidiaries is attached. The Consolidated Financial Statements have been prepared in accordance with Accounting Standards 21 issued by the Institute of Chartered Accountants of India.

### 33. Cash Flow Statement

In conformity with the provisions of Listing Regulations the Stock Exchanges, the Cash Flow Statement for the year ended 31 March 2016 is annexed hereto.

### 34. Management Discussion and Analysis Statement

The Annual Report has a detailed chapter on Management Discussion and Analysis, which forms a part of this report.

### 35. Policy on Insider Trading

Your Company formulated and implemented a Code of Conduct for Prevention of Insider Trading (Code) in accordance with the guidelines specified under the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992. The Company has adopted code of Internal Procedures and Conduct for Regulating, Monitoring And Reporting of Trading by Insiders in terms of new Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015. The Board of Directors appointed Mr. Ajay Pratap, Company Secretary, as the Compliance Officer under the said Code responsible for complying with the procedures, monitoring adherence to the Code for the preservation of price sensitive information, pre-clearance of trade, monitoring of trades and implementation of the Code of Conduct under the overall supervision of the Board of Directors.. The Code of Conduct is available on your Company's website [www.almondzglobal.com](http://www.almondzglobal.com)

### 36. Directors' Responsibility Statement

Pursuant to Section 134(5) of the Companies Act, 2013, the Board of Directors, to the best of their knowledge and ability, confirm that :

- (i) in the preparation of annual financial statements, the applicable accounting standards have been followed along with proper explanation relating to material departures, if any;
- (ii) the directors have selected such accounting policies and applied them consistently and made judgements and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the company at the end of the financial year 2015-16 and of the profit of the company for that period;
- (iii) the directors have taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act, 2013, for safeguarding the assets of the Company and for preventing and detecting fraud and other irregularities;
- (iv) the directors have prepared the annual accounts on a going concern basis;
- (v) The directors had laid down proper internal financial controls and such internal financial controls are adequate and were operating effectively
- (vi) the directors have devised proper systems to ensure compliance with the provisions of all applicable laws and that such systems were adequate and operating effectively.

### 37. Disclosure

As per the listing Regulations, corporate governance report with auditors' certificate thereon and management discussion and analysis are attached, which form part of this report.

Details of the familiarization programme of the independent directors are available on the website of the Company ([www.almondzglobal.com](http://www.almondzglobal.com)). Policy for determining material subsidiaries of the Company is available on the website of the Company ([www.almondzglobal.com](http://www.almondzglobal.com)). Policy on dealing with related party transactions is available on the website of the Company ([www.almondzglobal.com](http://www.almondzglobal.com)). The Company has formulated and published a Whistle Blower Policy to provide Vigil Mechanism for employees including directors of the Company to report genuine concerns. The provisions of this policy are in line with the provisions of the Section 177(9) of the Act and the Listing Regulations

### 38. Particulars of Loans, Guarantees or Investments by the Company

Details of Loans, Guarantees and Investments are given in the notes to Financial Statements.

### 39. Acknowledgements

Your Directors would like to place on record their gratitude for all the guidance and co-operation received from the Securities and Exchange Board of India, the Bombay Stock Exchange Limited, National Stock Exchange of India Limited, National Securities Depository Limited, Central Depository Services (India) Limited and other government and regulatory agencies.

Your Directors are grateful to the Company's clients, investors, bankers and other business associates for their continued support.

Your Directors would also like to take this opportunity to express their appreciation to the dedicated and committed team of employees for their contribution to the Company and rendering high quality services to the clients. We would also like to thank all our shareholders for their support in our endeavours.

For and on behalf of the Board of Directors

New Delhi  
August 09, 2016

**ATUL KUMAR SHUKLA**  
Chairman  
(DIN : 00121601)



## Annexure 'I A' to Directors' Report

THE INFORMATION REQUIRED UNDER SECTION 197 OF THE ACT READ WITH RULE 5(1) OF THE COMPANIES (APPOINTMENT AND REMUNERATION OF MANAGERIAL PERSONNEL) RULES, 2014 ARE GIVEN BELOW :

- a. The ratio of the remuneration of each director to the median remuneration of the employees of the Company for the financial year :

Executive Directors	Ratio to median remuneration
Mr. Navjeet Singh Sobti	19.34
Mr. Jagdeep Singh	13.08

The non Executive Directors do not get any remuneration except for the sitting fees. Details of sitting fees paid to the Non executive Directors during the year is given in Corporate Governance Report.

- b. The percentage increase in remuneration of Executive director, chief financial officer & company secretary in the financial year :

Directors, Chief Executive Officer, Chief Financial Officer and Company Secretary	% increase in remuneration in the financial year
Mr. Navjeet Singh Sobti	—
Mr. Jagdeep Singh	—
Mr. Govind Prasad Agrawal, CFO	—
Mr. Ajay Pratap, Company Secretary	14.63%

- c. The percentage increase in the median remuneration of employees in the financial year : 8.42%
- d. The number of permanent employees on the rolls of Company : 198
- e. Average percentile increase already made in the salaries of employees other than the managerial personnel in the last financial year and its comparison with the percentile increase in the managerial remuneration and justification thereof and point out if there are any exceptional circumstances for increase in the managerial remuneration :  
Average percentage increase made in the salaries of employees other than the managerial personnel in the last financial year, i.e., 2015-16 was 5.35% whereas the increase in the managerial remuneration for the same financial year was 1.26%.
- f. The key parameters for any variable component of remuneration availed by the directors :  
None
- g. Affirmation that the remuneration is as per the remuneration policy of the Company :  
The Company affirms remuneration is as per the remuneration policy of the Company.

**Annexure 'I B' to Directors' Report**

**THE INFORMATION REQUIRED UNDER SECTION 197 OF THE ACT READ WITH RULE 5(2) OF THE COMPANIES (APPOINTMENT AND REMUNERATION OF MANAGERIAL PERSONNEL) RULES, 2014 ARE GIVEN BELOW :**

A. Statement of the top ten employees in terms of remuneration drawn :

Name of the Employee	Remuneration received (in Rs.)	Qualification of the Employee	Experience of the Employee in years	Date of Commencement of Employment in the Company	Age of the Employee	Last Employment held by such Employee before joining the Company
Jagdeep Singh	48,52,818	B.sc. Maths (H), Chartered Accountant	25	01.04.2008	50	Allianz Capital & Management Services Limited
Harjit Singh Sethi	43,25,000	B.Com, L.L.B	18	09.03.2005	55	Bosuna Investors Guild Pvt. Ltd.
Manoj Manekji Dand	33,84,000	B.Com	30	09.10.2013	50	Reliance Capital Ltd.
Navjeet Singh Sobti	71,73,938	B.Com (H), Chartered Accountant	27	01.07.1996	48	N. A
Manoj Kumar Arora	47,78,400	MBA in finance	25	04.11.1994	43	Medicade Agencies
Sankha Dasgupta	41,78,400	EXPGDM (XIMB), B.Com	19	12.09.1996	42	N. A
Arindam Biswas	25,27,200	B.com (Hons); Masters in Business Management	18	01.10.2000	41	Sodexo Pass (India) Pvt. Ltd.
Govind Prasad Agrawal	37,17,600	B.Com, LLB, FCS	38	01.04.2005	61	Shiva Paper Mills Ltd.
Prabhjot S. Arora	28,20,000	MBA, B.E.	12	15.04.2008	35	STM Limited
Sudhakar Singh	34,93,500	B. Tech (Hons) in Civil Engineering	31	01.04.2013	54	Feedback infrastructure Services Ltd.

B. No employee of the Company has drawn remuneration aggregating to Rs. 1.02 Crore per annum or Rs. 8.50 Lacs per month during the year under report



## Annexure 'II' to Directors' Report

Disclosure pursuant to the provisions of the Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999 (As on 31 March 2016)

Nature of Disclosure		Particulars
a)	Options granted during the year	NIL
b)	Pricing Formula	N.A.
c)	Options vested during the year	NIL
d)	Options exercised during the year	NIL
e)	The total number of shares arising as a result of exercise of options during the year	NIL
f)	Options lapsed during the year	80998
g)	Variation of Terms of Options	The exercise price for all the outstanding options of Tranches First to Fifth were revised to Rs. 26.65 by the Compensation Committee in its meeting held on 7 July 2011.
h)	Money realized by exercise of options (including Tax)	NIL
i)	Total number of options in force (at the end of the year)	ESOS 2007 – 348002 options
j)	Diluted Earnings per Share	Rs. 0.27

No option were granted during the financial year 2015-16 and the previous year 2014-15, hence the disclosures related to employees-wise details of option granted, difference between employee compensation cost using then intrinsic value and fair value of the options and its impact on EPS of the Company, the description of the method and the significant assumptions to estimate the fair values of the options including weighted average information are not applicable.

**Annexure 'III' to Directors' Report**

**FORM NO. MGT 9  
EXTRACT OF ANNUAL RETURN**

As on financial year ended on 31.03.2016

**[Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management & Administration) Rules, 2014]**

**I. REGISTRATION AND OTHER DETAILS :**

i)	CIN	L74899DL1994PLC059839
ii)	Registration Date	28 June 1994
iii)	Name of the Company	Almondz Global Securities Limited
iv)	Category / Sub-Category of the Company	Company limited by shares / Indian Non- Government Company
v)	Address of the Registered Office and contact details	2nd Floor, 3 Scindia House, Janpath, New Delh-110001 Telephone No. 011-41514666-69 E-mail : delhi@almondz.com
vi)	Whether Listed Company	Yes
vii)	Name, Address and Contact details of Registrar & Transfer Agent, if any.	M/s Beetal Financial & Computer Services (P) Limited "Beetal House", 3rd Floor, 99, Madangir, Behind Local Shopping Centre, Near Dada Harsukhdas Mandir, New Delhi – 110 062 Tel : +91 11 29961281-82 Fax : 011-29961280/84 E-mail : <a href="mailto:beetalrta@gmail.com">beetalrta@gmail.com</a> Website : <a href="http://www.beetalfinancial.com">www.beetalfinancial.com</a>

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

(All the business activities contributing 10% or more of the total turnover of the company shall be stated)

Sl. No.	Name and Description of main products / services	NIC Code of the Product / Service	% to total turnover of the Company
1	Corporate finance & advisory fees	702, 649	23.75
2	Broking activities	661	44.40
3	Infrastructure Advisory	702, 649	36.36

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES**

S. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
1.	Almondz Finanz Limited	U65191DL2006PLC148718	Subsidiary	100%	Section 2 (87)
2.	Almondz Commodities Private Limited	U51909DL2005PTC139714	Subsidiary	100%	Section 2 (87)
3.	Almondz Wealth Advisors Limited	U70200DL2008PLC183702	Subsidiary	100%	Section 2 (87)
4.	Skiffle Healthcare Services Limited	U85110DL2012PLC246241	Subsidiary	100%	Section 2 (87)
5.	Almondz Global Infra-Consultant Limited	U74140DL2013PLC262069	Subsidiary	100%	Section 2 (87)
6.	North Square Projects Private Limited	U45200DL2012PTC239856	Subsidiary	100%	Section 2 (87)
7.	New Age Blocks Private Limited	U26910DL2013PTC253531	Associate	33.33%	Section 2 (6)
8.	Premier Alcobev Private Limited	U15530DL2007PTC281067	Associate	50%	Section 2(6)
9.	Avonmore Capital & Management Services Ltd	L67190DL1991PLC045857	Holding	53.61%	Section 2(46)