



# **ANNUAL REPORT 2015-16**

**PAWANSUT HOLDINGS LIMITED**



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## CORPORATE INFORMATION

### BOARD OF DIRECTORS

Mr. Laxman Singh Satyapal, Chairman & Managing Director  
Mr. Pradeep Kumar Jindal, Executive Director  
Mr. Pawan Kumar Poddar, Non-Executive Director  
Ms. Seema Khandelwal, Non-Executive Director  
Mr. Rahul, Non-Executive Director

### REGISTERED OFFICE & CORPORATE OFFICE

415, Usha Kiran Building, Commercial Complex  
Azadpur, Delhi- 110 033

### COMPANY SECRETARY

Ms. Shweta Gupta

### CHIEF FINANCIAL OFFICER

Mr. Vinit Kumar Sharma

### WEBSITE AND E-MAIL

[www.pawansutholdings.com](http://www.pawansutholdings.com)  
[pawansutholdingsltd@gmail.com](mailto:pawansutholdingsltd@gmail.com)

### BANKERS

Andhra Bank

### STATUTORY AUDITORS

**M/s S. K. Singla & Associates, Chartered Accountants**  
Address - 107, Mukand House, Commercial Complex  
Azadpur, Delhi- 110 033

### REGISTRAR & TRANSFER AGENT

**Beetal Financial & Computer Services (P) Limited**  
Address : Beetal House, 3rd Floor, 99 Madangir Behind Local Shopping Centre  
near Dada Harsukhdas Mandir, New Delhi- 110 062

### LISTING

Bombay Stock Exchange

## CHAIRMAN'S MESSAGE



### DEAR SHAREHOLDERS,

This was a year of accelerating momentum for our company, one in which we saw continued growth in revenue, profit and customer numbers, and demonstrated the value being created by our focus on improving customer advocacy, while investing in our core and growth businesses.

FY 2016 in Perspective Amidst a very difficult economic environment, your Company demonstrated a stable performance. The Top Management of your Company has outlined three filters as the strategy for future growth:

- i. Potential to scale up the business
- ii. Competitive advantage or strengths.
- iii. Possibility of sustained and profitable growth Consolidating and strengthening your Company's presence.

We inherit much more than our name. We derive strength, synergies, and most importantly, the priceless asset of trust. Our canvas has expanded, as we ventured into new fields while simultaneously widening the portfolio of existing businesses.

Our customers remain our highest priority. Throughout the year, and right across the company, we worked to find ways to build advocacy, by improving how we interact with our customers every day, and to have a positive impact on their lives with our services.

PHL operates in a dynamic and competitive environment. Competing in this environment requires a commitment to customer service excellence and innovation, areas where we continuously strive to improve.

While this is our third Annual Report since BSE listing, our shares are regularly traded on exchange.

### EXPERIENCE MANAGEMENT PERSONNEL

Our core area is in Finance, Leasing, Hire Purchase and investments. We are doing this by improving our internal processes, strengthening our techniques and investing significantly in terms of training our people. We do believe that the process of strengthening our core area will continue well into the next financial area and perhaps the year after as well.

We are confident our medium and long-term prospects remain

positive based on our competitive position, our diversified operations and strong financial capacity. Further as discussed last year, the Company for adding lasting value to your stake, has in future planning to get its shares listed on National Stock Exchange.

### PERFORMANCE OF COMPANY

Your company earned a Revenue of Rs. 17,515,184 during 2015-16 as compared to Rs. 16,961,049 over previous year. The Company has posted a record profit after tax of Rs. 90,179/- as against Rs. 479,408/- over the previous year.

### FUTURE PERSPECTIVE

Several pillars have been erected in terms of systems, processes, risk controls, people and technology. Many more steps will be taken to reach our long-term goal. Your Company's Management and the entire Executive Team Pawansut Holdings Limited (PHL) are committed to building a respectable and lasting entity. I take this opportunity to thank my colleagues on the Board for their valuable contribution. I thank all our employees for nurturing the values we espouse and for their unfettered efforts in the building of this institution. Always remember that each employee, each person involved in this company in any small or large measure, has an ownership over this company. We are what we are because we stand together as a family. This would not have been possible without your support. On behalf of the Board, I present my sincere appreciation and gratitude to our customers, shareholders, bankers and others for their continuous support, confidence and faith they have reposed in the Company.

I thank you for your attention.

Regards,

Sd/-

(Laxman Singh Satyapal)

Chairman & Managing Director



## Our Board of Directors



### **MR. LAXMAN SINGH SATYAPAL** **MANAGING DIRECTOR**

Mr. Laxman Singh Satyapal is the Managing Director of the Company. His expertise lies in managing operations in a large business environment, formulating business strategies and identifying new markets. He is having Sound Finance and Accounting Knowledge. He has been associated with the company since 1990 and has more than 23 years experience in corporate law, taxation, finance and investment.

### **MR. PRADEEP KUMAR JINDAL`** **DIRECTOR**

Mr. Pradeep Kumar Jindal is Masters in Business Administration (MBA) and graduate in commerce. He is an Executive Director on the Board of our Company. His role includes guiding the current businesses and building new ones in the financial services space. He is also actively associated with a number of social and charitable organizations. He has over 25 years of varied rich experience and had also practiced as Income Tax Practitioner for over 8 years. He is also a member of Audit Committee.

### **MR. RAHUL** **ADDITIONAL DIRECTOR**

Mr. Rahul is an Additional Director of the company. He is having experience in public relations and liasoning. He is also the member of the Audit Committee, Nomination and Remuneration Committee and Shareholders' Grievance Committee

### **MR. PAWAN KUMAR PODDAR** **DIRECTOR**

Mr. Pawan Kumar Poddar is the Independent Director of the company. He is recognized for excellent people management and team building abilities besides infusing the spirit of action and a result oriented work culture. He is also a Chairman of the Audit Committee, Nomination and Remuneration Committee and Shareholders' Grievance Committee.

### **MS. SEEMA KHANDELWAL** **DIRECTOR**

Ms. Seema Khandelwal is an Independent Director of the company. She is Bachelor in Commerce and hold vast experience and expertise in the field of finance. She is also a member of Nomination and Remuneration Committee and Shareholders' Grievance Committee.

### **MS. SHWETA GUPTA** **COMPANY SECRETARY**

Ms. Shweta Gupta heads the legal, compliance and company secretarial function of the Company. She is a member of The Institute of Company Secretary of India. Her expertise lies in secretarial function & holds great managerial skills.

### **MR. VINIT KUMAR SHARMA** **CHIEF FINANCIAL OFFICER**

Mr. Vinit Kumar Sharma is the Chief Financial Officer of the Company having expertise in financial aspects.







# DIRECTOR'S REPORT

To

The Shareholders,

Your Board of Directors has the pleasure in presenting the Thirty Second Annual Report together with the Audited Financial Statements of your Company for the financial year ended 31st March, 2016.

## PERFORMANCE HIGHLIGHTS

The highlights of performance of the Company for the financial year 2015-16 were as under with comparative position of previous year's performance:-

PARTICULARS	2015-2016	2014-2015
Operating Income	1,75,15,184	1,66,48,320
Other Income	-	3,12,729
Total Income	1,75,15,184	1,69,61,049
Less: Total Expenses	1,70,16,840	1,53,68,052
Profit/(loss) before Depreciation & Taxes	4,98,344	15,92,997
Less: Depreciation	2,29,352	6,81,075
Less: Current tax	1,50,000	4,82,429
Less: Deferred Tax	28,813	(49915)
Profit/(Loss) for the year	90,173	4,79,408

## PERFORMANCE REVIEW

The year 2015-16 was a year with excellent financial results as far as your Company is concerned. The Gross Income for this period was Rs. 1,75,15,184 as against Rs. 1,69,61,049 in the year 2014-15. The Total Expenditure for the period was Rs. 1,72,46,192. The Company had posted a record profit after tax of Rs. 90,179/- as against Rs. 4,79,408 over the previous year.

## DIVIDEND

The Board of Directors of your Company has decided to retain and plough back the profits into the business of the Company, thus no dividend is being recommended for this year.

## RESERVES

The Company has not transferred any reserves to the special reserve.

## CHANGES IN SHARE CAPITAL

During the year under review, your company has not made any material changes in the share capital of the Company.

## EXTRACT OF ANNUAL RETURN

Pursuant to Section 134(3)(a) and Section 92(3) of the Companies Act, 2013 read with Rule 12 of the Companies (Management and Administration) Rules, 2014, the extract of the Annual Return as at March 31, 2016, in the prescribed form MGT 9, forms part of this report and is annexed as "Annexure - A".

## NUMBER OF MEETINGS OF THE BOARD OF DIRECTORS

The Board of Directors met Eleven (11) times in the year 2015- 2016. The details of the Board Meetings and the attendance of the Directors are provided in the Corporate Governance Report.

## AUDIT COMMITTEE

The Audit Committee met Eight (8) times in the year 2015 - 2016. The details of the Audit Committee and the attendance of its members are provided in the Corporate Governance Report.

## NOMINATION AND REMUNERATION COMMITTEE?

The Nomination and Remuneration Committee met Four (4) times in the year 2015-2016. The details of the Nomination and Remuneration Committee and the attendance of its members are provided in the Corporate Governance Report.

## SHAREHOLDER GRIEVANCE COMMITTEE

During the year Company has changed the name of the Committee as "Stakeholder Relationship Committee" from "Shareholder Grievance Committee" in the meeting of the board of Directors held on November 13, 2016.

The Stakeholder Relationship Committee met Four (4) times in the year 2015 - 2016. The details of the Stakeholder Relationship Committee and the attendance of the members are provided in the Corporate Governance Report.

## PARTICULARS OF LOANS, GUARANTEES OR INVESTMENTS UNDER SECTION 186

Details of Loans, Guarantees and Investments covered under the provisions of Section 186 of the Companies Act, 2013 are given in the notes to the Financial Statements.

## PARTICULARS OF CONTRACTS OR ARRANGEMENTS WITH RELATED PARTIES

There is no particular of contracts or arrangements with related parties referred to in Section 188(1) of the Companies Act 2013 for the Financial Year 2015-16.

## EXPLANATION OF AUDITOR'S REPORT

The Notes on Financial Statements referred to in the Auditors' Report are self-explanatory and do not call for any further comments.

## MATERIAL CHANGES AND COMMITMENTS

There is no material changes and commitments affecting the financial position of the Company have occurred between April 1, 2015 and the date on which this report has been signed.

## CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION AND FOREIGN EXCHANGE EARNINGS AND OUTGO-INFORMATION AS PER SECTION 134(3) (m) OF THE COMPANIES ACT, 2013

The Company, being engaged, in the industrial financing does not have any energy utilization or technology absorption. The Company during the year under consideration has not earned or spent any foreign exchange.

## SUBSIDIARIES

Your Company does not have any subsidiary / subsidiaries within the meaning of the Companies Act, 2013.

## POLICIES OF THE COMPANY

Your Company has posted the following documents on its website [www.pawansutholdings.com](http://www.pawansutholdings.com):

1. Code of Conduct
2. Whistle Blower Policy/ Vigil Mechanism Policy
3. Fair Practice Code as per RBI Norms.
4. Policy on criteria for determining materiality of events.
5. Preservation of Documents and Archival Policy.
6. Familiarisation Programmes for Independent Directors.
7. Enterprise Risk Management Policy.
8. Related Party Transaction Policy
9. Board Diversity.

## RISK MANAGEMENT POLICY

Effective risk management is essential to success and is an integral part of our



culture. While we need to accept a level of risk in achieving our goals, sound risk management helps us to make the most of each business opportunity, and enables us to be resilient and respond decisively to the changing environment.

Our approach to risk management assists us in identifying risks early and addressing them in ways that manage uncertainties, minimize potential hazards, and maximize opportunities for the good of all our stakeholders including shareholders, customers, suppliers, regulators and employees. Risks can be broadly classified as Strategic, Operational, Financial, and Legal/Regulatory.

In accordance with the requirements of the Companies Act, 2013 and Regulation 17(9) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, Company has adopted risk management policy, approved by Board of Directors and established a risk management framework to identify, mitigate and control the risk and threatens of risk.

#### REMUNERATION POLICY

The Board has, on the recommendation of the Nomination & Remuneration Committee framed a policy for selection and appointment of Directors, Senior Management and their remuneration. The Remuneration Policy is stated in the Corporate Governance Report.

#### DIRECTORS

The current composition of the Board of Directors of your Company is as under:-

Sl. No.	Name of Director	Designation	Date of Appointment
1.	Mr. Laxman Singh Satyapal	Chairman & Managing Director	18/12/2000
2.	Mr. Pradeep Kumar Jindal	Executive Director	30/08/2006
3.	Mr. Rahul	Additional Director	12/11/2015
4.	Mr. Pawan Kumar Poddar	Independent Director	20/03/2011
5.	Ms. Seema Khandelwal	Independent Director	29/05/2014

#### A) Key Managerial Personnel

The Board appointed the below mentioned persons as the key managerial personnel of the Company under section 203 of the Companies act, 2013 as follows:

Sl. No.	Name of the Person	Designation
1.	Mr. Laxman Singh Satyapal	Managing Director
2.	Ms. Shweta Gupta	Company Secretary
3.	Mr. Vinit Kumar Sharma	Chief Financial Office

#### B) CESSATION

During the year under review, Mr. Uttam Kumar Srivastava, Director (DIN: 03372917) resigned from the post of Non Executive Independent Directorship and Committees in which he was serving as Chairman/Committee Member with effect from November 13, 2015. The Board placed on its record its appreciation for the valuable services rendered by him.

#### C) RETIREMENT BY ROTATION

In accordance with the provisions of section 152(6) of the Companies Act, 2013, Mr. Pradeep Kumar Jindal (DIN 00049715) will retire by rotation at the ensuing Annual General Meeting of the Company and being eligible, offers himself for re-appointment. The Board recommends their re-appointment.

#### APPOINTMENT OF INDEPENDENT DIRECTOR

During the year under review, Mr. Rahul (DIN: 06873911) was appointed as Additional Non Executive Director of the Company who shall hold office upto the date of ensuing Annual General Meeting of the Company. The Company has received notice in writing under Section 160 of the Companies Act, 2013 from

members proposing appointment as Director. The Board recommends his appointment. Based on the confirmations received, none of the Directors are disqualified for appointment under Section 164(2) of Companies Act, 2013.

#### DETAILS OF SIGNIFICANT AND MATERIAL ORDERS PASSED BY THE REGULATORS OR COURTS OR TRIBUNALS IMPACTING THE GOING CONCERN STATUS AND COMPANY'S OPERATIONS IN FUTURE

There is no order passed by any regulator or court or tribunal against the Company, impacting the going concern concept or future operations of the Company.

#### INTERNAL CONTROL SYSTEMS

The Company's internal control systems are conducted by Ms. Veena, Internal Auditor. The Internal Auditor independently evaluates the adequacy of internal controls and reviews major transactions. The Internal Auditor report directly to the Audit Committee to ensure complete independence and the Audit Committee was satisfied with the adequacy and effectiveness of the Internal Controls and Systems followed by the company.

#### PUBLIC DEPOSITS

Your Company did not accept any deposits from public in term of the provisions of section 73 of the Companies act, 2013 and to this effect an undertaking is given to the Reserve Bank of India.

#### LISTING AGREEMENT

The Securities and Exchange Board of India (SEBI), on September 2, 2015, issued SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the aim to consolidate and streamline the provisions of the Listing Agreement for different segments of capital markets to ensure better enforceability. The said regulations were effective December 1, 2015. Accordingly, all listed entities were required to enter into the Listing Agreement within six months from the effective date. The Company entered into Listing Agreement with BSE Limited during December 2015.

#### DECLARATION BY AN INDEPENDENT DIRECTOR(S)

The Independent Directors have confirmed and declared that they are not disqualified to act as an Independent Director in compliance with the provisions of Section 149 of the Companies Act, 2013 and the requirements of Listing Regulations. Based on the confirmation / disclosures received from the Directors and on evaluation of the relationships disclosed, the following Non-Executive Directors are Independent in terms of Section 149(6) of the Companies Act, 2013 and the requirements of Listing Regulations :-

1. Mr. Rahul (DIN : 06873911)
2. Mr. Pawan Kumar Poddar (DIN : 02152971)
3. Ms. Seema Khandelwal (DIN : 00007351)

#### AUDITORS

M/s. M. M. Goyal & Co., Chartered Accountants (Firm Registration Number 007198N), be and hereby appointed as Auditors of the Company in place of M/s. S. K. Singla & Associates, Chartered Accountants (Firm Registration No. 005903N) from the conclusion of this Annual General Meeting until the conclusion of the 37<sup>th</sup> Annual General Meeting. The said appointment is subject to ratification by the members at every Annual General Meeting and they be paid a remuneration as may be determined by the Board of Directors.

The Company has received letters from the Auditor to the effect that the appointment, if made, would be within the prescribed limits under Section 141(3)(g) of the Companies Act, 2013 and that the Auditors are not disqualified for appointment.

The Notes on Financial Statements referred to in the Auditors' Report are self-explanatory and do not call for any further comments.

#### SECRETARIAL AUDIT

Pursuant to the provisions of Section 204 of the Companies Act, 2013 and the



Rules made thereunder, Mr. Prashanth Gupta, Company Secretary in Practice (ACS; 37201, C P No. 13958), Delhi, has been appointed to conduct a Secretarial Audit of the Company's Secretarial and related records for the year ended 31 March, 2016. The Practicing Company Secretary has submitted his Report on the secretarial audit conducted by him which is annexed to this Board's Report.

#### BOARD'S RESPONSE TO OBSERVATIONS IN SECRETARIAL AUDIT REPORT

The Board's responses to the qualifications and other observations are as follows. The Secretarial Auditors (Auditors) have submitted their report in form No. MR 3 and qualified their opinion/observations in respect of the secretarial Audit conducted for the financial year 2015-16 as under and the Board's responses are given against each qualification/observation as follows:

**Board's Response:** The Management has taken on record all the qualification of the auditor. The Company will due care of all the compliances so that such non compliance not repeated in near future.

#### PERFORMANCE EVALUATION OF THE DIRECTORS ETC

SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 mandates that the Board shall monitor and review the Board Evaluation Framework. The Nomination and Remuneration Committee has laid down the criteria for performance evaluation of the individual Directors and the Board. The framework of performance evaluation of the Independent Directors captures the following points:

- A) Key attributes of the Independent Directors that justify his / her extension / continuation on the Board of the Company;
- B) Participation of the Directors in the Board proceedings and his / her effectiveness;

The evaluation was carried out by means of the replies given / observations made by all the Independent Directors on the set of questions developed by them which brought out the key attributes of the Directors, quality of interactions among them and its effectiveness.

#### VIGIL MECHANISM

The Company already has put in place a policy prohibit managerial personnel from taking adverse personnel action employees disclosing in good faith, alleged wrongful conduct on matters of public concern involving violation of any law, mismanagement, misappropriation of public funds, among others.

Employees aware of any alleged wrongful conduct are encouraged to make a disclosure to the Audit Committee.

No personnel of the Company were denied access to the Audit Committee.

Your Company has maintained Vigil Mechanism and posted its Policy on website [www.pawansutholdings.com](http://www.pawansutholdings.com)

#### CORPORATE GOVERNANCE

Pursuant to Regulation 27 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchanges, a separate section titled Report on Corporate Governance has been included in this Annual Report. Your Directors are pleased to report that as on March 31, 2016, your Company is fully compliant with the SEBI Guidelines on Corporate Governance.

#### CORPORATE GOVERNANCE CERTIFICATE

The Compliance Certificate from the Auditors or Practicing Company Secretaries regarding compliance of conditions of corporate governance as stipulated in Regulation 34(3) and Schedule V (c) and (e) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 is annexed with the report.

#### RBI NORMS AND ACCOUNTING STANDARDS

To comply with RBI directions, your company closed its accounts for the full year ending March 2016, and your Company continues to comply with the directives issued as well as the norms prescribed by Reserve Bank of India for NBFCs.

#### RBI GUIDELINES

Your Company is complying with all the guidelines of the Reserve Bank of India for Non Banking Finance Company. In terms of Para 13 of the NBFC Regulations, the particulars as applicable to the Company are appended to the Balance Sheet.

#### NON-BANKING FINANCIAL COMPANIES AUDITORS' REPORT (RESERVE BANK) DIRECTIONS, 1998

Pursuant to the Non Banking Financial Companies Auditors' Report (Reserve Bank) Directions, 1998, a report from the statutory auditors to the Board of Directors, has been received by the Company.

#### EMPLOYEE REMUNERATION

- (A). The ratio of the remuneration of each director to the median employee's remuneration and other details in terms of sub-section 12 of Section 197 of the Companies Act, 2013 read with Rule 5(1) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014, are forming part of this report and is annexed as "Annexure - B" to this Report.
- (B). The statement containing particulars of employees as required under Section 197(12) of the Companies Act, 2013 read with Rule 5(1) and Rule 5 (3) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014, forms part of this report. In terms of Section 136 of the Companies Act, 2013 the same is open for inspection at the Registered Office of the Company. Copies of this statement may be obtained by the members by writing to the Company Secretary.
- (C). There are no employees employed throughout the financial year and in receipt of remuneration of Rs. 60 lacs or more, or employed for part of the year and in receipt of Rs. 5 lacs or more a month, to be reported under Rule 5(2) of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014

#### DISCLOSURE UNDER THE SEXUAL HARASSMENT OF WOMAN AT WORKPLACE (PREVENTION, PROHIBITION AND REDRESSAL) ACT, 2013

The Company has only 9 women employees. So, The Company does not fall in requirements of the Sexual Harassment of Women at the Workplace (prevention, prohibition & Redressal) Act, 2013. No employees (permanent, contractual, temporary, trainees) are covered under this policy.

#### MANAGEMENT DISCUSSION AND ANALYSIS REPORT

Management's Discussion and Analysis Report for the year under review, as stipulated under Regulation 34(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchanges in India, annexed to this Directors' Report, provides a more detailed review of the operating performance.

#### BUSINESS RESPONSIBILITY STATEMENT

SEBI, vide its Circular CIR/CFD/DIL/8/2012 dated August 13, 2012, mandated the top 100 listed entities, based on market capitalization at BSE and NSE, to include Business Responsibility Report (BRR) as part of the Annual Report describing the initiatives taken by the companies from Environmental, Social and Governance perspective. Accordingly, this circular is not applicable to our company.

#### DIRECTORS RESPONSIBILITY STATEMENT

Pursuant to Section 134(5) of the Companies Act 2013, your Directors confirm that:

- i) In the preparation of the annual accounts, the applicable accounting standards have been followed.
- ii) The Directors have selected such accounting policies and applied them consistently and made judgments and estimates that are reasonable and prudent so as to give a true and fair view of the state of affairs of the company at the end of the financial year and of the profit and loss account of







the company for that period.

- iii) The Directors have taken proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of this Act for safeguarding the assets of the company and for preventing and detecting fraud and other irregularities.
- iv) The Directors have prepared the annual accounts on a going concern basis.
- v) The Directors, have laid down internal financial controls to be followed by the company and that such internal financial controls are adequate and were operating effectively.
- vi) The directors have devised proper systems to ensure compliance with the provisions of all applicable laws and that such systems were adequate and operating effectively.

#### INTERNAL FINANCIAL CONTROL

Internal control framework including clear delegation of authority and standard operating procedures are available across all businesses and functions. These are reviewed periodically at all levels. The Company's Internal Audit is conducted by Ms. Veena, Internal Auditor of the Company. The Internal Auditor independently evaluates the adequacy of internal controls and reviews major transactions. The Internal Auditor report directly to the Audit Committee to ensure complete independence.

#### APPRECIATION

Your Directors thank Customers, and all the Stakeholders for their continued support to your Company's performance and growth. The Directors also wish to place on record their sincere appreciation of the commitment and enthusiasm of all employees for their significant role in the Company's growth till date.

**For and on behalf of the Board of Directors  
For Pawansut Holdings Limited**

Place: Delhi  
Date: 01.09.2016

Sd/-  
(Laxman Singh Satyapal)  
Chairman & Managing Director  
Din No. : 00007258

Sd/-  
(Pradeep Kumar Jindal)  
Director  
Din No. : 00049715





## FORM NO. MGT 9

## EXTRACT OF ANNUAL RETURN

as on financial year ended on 31.03.2016

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management &amp; Administration) Rules, 2014.

## I. REGISTRATION &amp; OTHER DETAILS:

i	CIN	L65929DL1984PLC019506
ii	Registration Date	06-12-1984
iii	Name of the Company	PAWANSUT HOLDINGS LIMITED
iv	Category/Sub-category of the Company	Public Company, Limited by Shares (Registered with RBI as a Non Banking Financial Company)
v	Address of the Registered office & contact details	415, Usha Kiran Building, Commercial Complex, Azadpur, Delhi- 110033 Contact- 011- 27676399
vi	Whether listed company	YES
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Beetal Financial & Computers Services Pvt Ltd Address : Beetal House, 3rd Floor, 99, Madangiri, Behind Local Shopping Centre Near Dada Harsukhdas Mandir, Delhi- 110 062 Contact: 91-11-29961281/83

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	The Company is engaged in the business of providing different types of bans and Investments.	65	100%
2			

## III. PARTICULARS OF HOLDING, SUBSIDIARY &amp; ASSOCIATE COMPANIES

SL No	Name & Address of the Company	CIN/GLN	HOLDING / SUBSIDIARY / ASSOCIATE	% OF SHARES HELD	APPLICABLE SECTION
1	NONE				

## IV. SHAREHOLDING PATTERN (Equity Share capital Break up as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year (1st April 2015)				No. of Shares held at the end of the year (31st March 2016)				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian									
a) Individual/HUF	4115720	0	4115720	36.38	4041316	0	4041316	35.72	(0.66)
b) Central Govt. or State Govt.	0	0	0	0	0	0	0	0	0
c) Bodies Corporate	0	0	0	0	0	0	0	0	0
d) Bank/FI	0	0	0	0	0	0	0	0	0
e) Any other	0	0	0	0	0	0	0	0	0
<b>SUB TOTAL: (A) (1)</b>	<b>4115720</b>	<b>0</b>	<b>4115720</b>	<b>36.38</b>	<b>4041316</b>	<b>0</b>	<b>4041316</b>	<b>35.72</b>	<b>(0.66)</b>
(2) Foreign	0	0	0	0	0	0	0	0	0
a) NRI- Individuals	0	0	0	0	0	0	0	0	0
b) Other individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks/FI	0	0	0	0	0	0	0	0	0
e) Any other...	0	0	0	0	0	0	0	0	0
<b>SUB TOTAL (A) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Shareholding of Promoter (A) (A1)+(A2)</b>	<b>4115720</b>	<b>0</b>	<b>4115720</b>	<b>36.38</b>	<b>4041316</b>	<b>0</b>	<b>4041316</b>	<b>35.72</b>	<b>(0.66)</b>
<b>B. PUBLIC SHAREHOLDING</b>									
(1) Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	0	0	0	0	0	0	0	0	0
c) Central govt.	0	0	0	0	0	0	0	0	0
d) State Govt.	0	0	0	0	0	0	0	0	0
e) Venture Capital Fund	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FII	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
<b>SUB TOTAL (B) (1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
(2) Non Institutions									
a) Bodies Corporate									
i) Indian	980593	0	980593	8.67	998495	0	998495	8.825	0.155
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakhs	203652	6500	210152	1.86	394716	6500	401216	3.54	1.68
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	5105561	162000	5267561	46.56	5111342	162000	5273342	46.60	0.04
c) Others (specify) NRI	550	0	550	0	60505	0	60505	0.53	0.53
Cleaning Members	6000	0	6000	0.05	0	0	0	0	(0.05)
HUF	731329	2000	733329	6.48	537031	2000	539031	4.76	(1.72)
<b>Sub-total (B) (2)</b>	<b>7027685</b>	<b>170500</b>	<b>7198185</b>	<b>63.62</b>	<b>7102089</b>	<b>170500</b>	<b>7272589</b>	<b>64.28</b>	<b>0.66</b>
<b>Total Public Shareholding (B) (B1)+(B2)</b>	<b>7027685</b>	<b>170500</b>	<b>7198185</b>	<b>63.62</b>	<b>7102089</b>	<b>170500</b>	<b>7272589</b>	<b>64.28</b>	<b>0.66</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	0	0	0	0	0	0	0	0	0
<b>Grand Total (A+B+C)</b>	<b>11143405</b>	<b>170500</b>	<b>11313905</b>	<b>100</b>	<b>11143405</b>	<b>170500</b>	<b>11313905</b>	<b>100</b>	<b>Nil</b>

## (ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No. of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	LAXMAN SINGH SATYAPAL	430346	3.80	-	430346	3.80	-	0
2	MAMTA JINDAL	418518	3.70	-	418518	3.70	-	0
3	POOJA GARG	94532	0.84	-	94532	0.84	-	0
4	MEERA MISHRA	480789	4.25	-	480789	4.25	-	0
5	ARCHIT JINDAL	488550	4.32	-	19146	0.17	-	(4.15)
6	AANCHAL JINDAL	500000	4.42	-	495000	4.38	-	(0.04)
7	VINAY GARG	320000	2.83	-	320000	2.83	-	0
8	PRADEEP KUMAR JINDAL & SONS HUF	1059785	9.37	-	1059785	9.37	-	0
9	VINAY KUMAR GARG (HUF)	200000	1.77	-	200000	1.77	-	0
10	AJAY KUMAR JINDAL	123200	1.09	-	523200	4.62	-	3.53

## (iii) Change in Promoters' Shareholding (please specify, if there is no change)

S. No.	Name of the Promoter ARCHIT JINDAL	Shareholding at the beginning of the year		Cumulative Share during the year	Reason for increasing/decrease
		No. of shares	% of total shares of the company		
	At the beginning of the year	488550	4.32		
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	Date of Increase / Decrease in Shares 25-12-2015 08-01-2016 29-01-2016	Increase / Decrease in Shares during the year (100000) (96000) (273404)	388550 292550 19146	Transfer Transfer Transfer
	At the end of year	19146	0.169		

S. No.	Name of the Promoter AANCHAL JINDAL	Shareholding at the beginning of the year		Cumulative Share during the year	Reason for increasing/decrease
		No. of shares	% of total shares of the company		
	At the beginning of the year	500000	4.42		
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	Date of Increase / Decrease in Shares 12-02-2016	Increase / Decrease in Shares during the year 5000	495000	Transfer
	At the end of year	495000	4.375		

S. No.	Name of the Promoter AJAY KUMAR JINDAL	Shareholding at the beginning of the year		Cumulative Share during the year	Reason for increasing/decrease
		No. of shares	% of total shares of the company		
	At the beginning of the year	123200	1.09		
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	Date of Increase / Decrease in Shares 07-08-2015 14-08-2015	Increase / Decrease in Shares during the year 200000 200000	323200 523200	Transfer Transfer
	At the end of year	523200	4.62		